GRIZZLY MINING LTD, GRIZZLY COPPER MINES LTD & PRIDEGEMS MINES LTD

KNOW YOUR CUSTOMER (KYC)

SUPPLIER REGISTRATION AND DUE DILIGENCE FORM

Prepared in accordance with:

S.I. No. 68 of 2025 — Local Content Regulations (Schedules 1–4 and Forms I–V)
The Companies Act, 2017 (Beneficial Ownership Transparency)
The Data Protection Act, No. 3 of 2021
Anti-Money Laundering and Counter-Terrorism Financing Requirements

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Confidentiality	Confidential — Contains personal data

INSTRUCTIONS TO APPLICANTS

This Know Your Customer (KYC) / Supplier Registration and Due Diligence Form must be completed in full by every company applying for registration as an approved supplier of Grizzly Mining Ltd, Grizzly Copper Mines Ltd & Pridegems Mines Ltd ("Grizzly" or the "Company").

The Form is divided into twelve (12) Parts. Each Part is mandatory unless otherwise indicated. Applicants are required to attach **certified** copies of all supporting documentation where indicated.

The information collected through this Form feeds directly into the Company's regulatory reporting obligations under S.I. No. 68 of 2025. In particular:

- Part 2 (Local Content Status) feeds into Form III — Employee Status and Form IV — Suppliers Beneficial Ownership Disclosure;
- Part 3 (Beneficial Ownership) feeds into Form IV of the Third Schedule;
- Part 6 (Technical Capability) and Part 7 (HSE) support the Capacity Assessment under the Supplier Development Programme (Fourth Schedule, paragraph 1);
- Part 11 (Document Checklist) ensures completeness for the record-keeping obligation under regulation 4(7).

Personal information shall be processed in accordance with the Data Protection Act, No. 3 of 2021 and used solely for supplier due diligence, registration, procurement and statutory compliance.

Deliberately providing false, misleading or incomplete information shall result in disqualification and may be referred to the appropriate law enforcement authorities.

PART 1: COMPANY IDENTIFICATION

1.1 GENERAL INFORMATION	
Full legal name of the company	
Trading name (if different from legal name)	
Country of incorporation	
Date of incorporation	
Registration number (PACRA / equivalent foreign registry)	
Company type (Private Ltd / Public / Partnership / Sole Trader / JV / Other)	
Tax Identification Number (TPIN)	
VAT registration number (if applicable)	
Registered office address	
Postal address (if different)	
Physical / operational address(es) in Zambia	
GPS coordinates of principal place of business (if rural)	
Main telephone number	
Alternative telephone number	
Email address (general)	
Email address (procurement / commercial)	
Website	
Social media presence (LinkedIn / Facebook)	

1.2 INDUSTRY CLASSIFICATION AND SUPPLY CATEGORIES	
Principal business activity (describe in full)	
ISIC / SITC code(s) (if known)	
Number of years in current principal business	
Identify the specific categories of goods or services the applicant supplies or wishes to supply, by reference to the Schedules to S.I. No. 68 of 2025. Tick all that apply:	
Core Mining Goods (First Schedule, Part A) — List specific items:	
Core Mining Services (First Schedule, Part B) — List specific items:	
Non-Core Mining Goods (Second Schedule, Part A) — List specific items:	
Non-Core Mining Services (Second Schedule, Part B) — List specific items:	
Other goods / services not listed in the Schedules (describe):	

PART 2: LOCAL CONTENT STATUS (S.I. 68 OF 2025)

This Part collects the information required under the Regulations to determine whether the applicant qualifies as a "local company" and the applicable reservation and preference benefits. The data captured here directly populates Form III (Employee Status) and Form IV (Beneficial Ownership) of the Third Schedule.

2.1 CITIZENSHIP AND OWNERSHIP STATUS	
Is the company a "citizen owned company" within the meaning of the Citizen Economic Empowerment Act? (Y/N)	
Is the company a "citizen empowered company" within the meaning of the Citizen Economic Empowerment Act? (Y/N)	
Does the company hold a valid CEEC Certificate? If yes, attach certified copy and state expiry date.	
Total number of shareholders / partners	
Percentage of equity held by Zambian citizens (%)	
Percentage of equity held by women (%)	
Percentage of equity held by youth (persons under 35 years of age) (%)	
Percentage of equity held by persons with disabilities (%)	
Percentage of foreign ownership (%)	
Country(ies) and nationality(ies) of foreign shareholders	
Is the company a micro, small or medium enterprise within the meaning of the Zambia Development Agency Act? (Y/N)	
Is the company located in the host community of the Company's mining operations? (Y/N)	

2.2 WORKFORCE PROFILE (REG 4(6)(c) / FORM III OF THIRD SCHEDULE)

Complete the workforce breakdown below. This data will feed directly into the quarterly Form III submission.

Category	Total Employees	Zambian Employees	Expatriates	% Zambian
Senior Management (CEO, CFO, GM)				
Middle Management (Project Mgr, Operations Mgr, etc.)				
Technical Staff (Engineer, Geologist, etc.)				
General Workforce (Machine Operator, Driver, etc.)				
TOTAL				

PART 3: BENEFICIAL OWNERSHIP DISCLOSURE

This Part gives effect to the beneficial ownership transparency obligations under the Companies Act, 2017, regulation 4(6)(d), and Form IV of the Third Schedule to S.I. 68 of 2025. ALL applicants must complete this Part regardless of nationality.

“Beneficial owner” has the meaning assigned to the words “beneficial owner” in the Companies Act, 2017. For the avoidance of doubt, a beneficial owner includes any natural person who (directly or indirectly) owns or controls 5% or more of the shares or voting rights, or who otherwise exercises significant influence or control over the company.

3.1 SUMMARY OWNERSHIP (FORM IV DATA)	
Zambian ownership (%)	
Foreign ownership (%)	
Shareholding by women (%)	
Shareholding by youth (<35 years) (%)	

3.2 INDIVIDUAL BENEFICIAL OWNERS HOLDING 5% OR MORE

List every natural person who directly or indirectly holds 5% or more. Attach certified copy of NRC / Passport for each.

#	Full Name	Nationality	NRC / Passport	% Held	Gender	DOB	PEP? Y/N	Direct / Indirect	Residential Address
1									
2									
3									
4									
5									
6									
7									
8									

3.3 PEP, SANCTIONS AND ADVERSE HISTORY	
Is any beneficial owner, director or key officer a Politically Exposed Person (PEP)? (Y/N)	
If YES, provide full name, position held and nature of political exposure:	
Is any beneficial owner, director or key officer currently subject to any economic, trade or financial sanctions (UN, EU, US, UK or any other jurisdiction)? (Y/N)	
If YES, provide full details:	
Has the company, or any beneficial owner / director / officer, been convicted of any offence involving fraud, corruption, money laundering or financing of terrorism? (Y/N)	
If YES, provide full details including jurisdiction, date of conviction and sentence:	
Has the company or any beneficial owner appeared on any adverse media reports relating to financial crime? (Y/N)	
If YES, provide details:	

3.4 CORPORATE STRUCTURE	
Is the applicant a subsidiary of another company? (Y/N)	
If YES, name and country of incorporation of the immediate parent company:	
Name and country of incorporation of the ultimate parent / holding company:	
Describe the full corporate group structure (attach organogram):	
List all subsidiaries and affiliates of the applicant:	
List all related or associated companies that may have a business relationship with Grizzly:	

PART 4: DIRECTORS AND KEY MANAGEMENT PERSONNEL

List all current directors and key management officers. Attach **certified copy of NRC / Passport** for each.

#	Full Name	Position / Title	Nationality	NRC / Passport	DOB	Gender	PEP? Y/N	Date Appointed
1								
2								
3								
4								
5								
6								
7								
8								

PART 5: STATUTORY AND REGULATORY COMPLIANCE

5.1 TAX AND SOCIAL SECURITY	
Tax Clearance Certificate (attach certified copy)	□ Attached
Tax Clearance Certificate validity period (from – to)	
NAPSA registration number	
NAPSA compliance certificate (attach copy)	□ Attached
Workers' Compensation Fund registration number	
Workers' Compensation compliance certificate (attach copy)	□ Attached

5.2 BUSINESS LICENCES AND REGISTRATIONS	
PACRA annual return filed and up to date? (Y/N) (attach proof)	□ Attached
Sector-specific licence(s) (list and attach copies)	
Professional body membership(s) (e.g. EIZ, ZIPS, ZICA, ZIPSS) (list and attach)	
Is the company registered on the ZPPA supplier database? (Y/N)	
ZPPA supplier registration number (if applicable)	
Is the company registered with the National Council for Construction (NCC)? If yes, state grade and category:	
Any other relevant registrations:	

5.3 LEGAL PROCEEDINGS AND COMPLIANCE HISTORY	
Litigation Status from Law Firm (Applicable to all non-CSR participants)	□ Attached
Is the company currently party to any material legal proceedings (as plaintiff or defendant)? (Y/N)	
If YES, provide details (jurisdiction, parties, subject matter, value):	
Has the company been suspended, debarred or restricted from public or private procurement in any jurisdiction in the past 5 years? (Y/N)	
If YES, provide details:	

Has the company been subject to any regulatory enforcement action (by ZPPA, ZRA, CEEC, EIZ, or any authority) in the past 5 years? (Y/N)	
If YES, provide details:	
Has the company had any contract terminated for default in the past 5 years? (Y/N)	
If YES, provide details:	

PART 6: FINANCIAL INFORMATION

6.1 FINANCIAL OVERVIEW (Not applicable to SMEs, Cooperatives, Individuals and companies on TOT)	
Annual turnover — Year 1 (most recent) (currency and amount)	
Annual turnover — Year 2 (currency and amount)	
Annual turnover — Year 3 (currency and amount)	
Net profit / (loss) — most recent year	
Total assets — most recent year	
Total liabilities — most recent year	
Net worth (total assets minus total liabilities)	
Audited financial statements attached? (Y/N) (last 2 years mandatory)	☐ Attached
Name and firm of external auditor	
Audit opinion (unqualified / qualified / adverse / disclaimer)	
Date of most recent audit report	

6.2 BANKING DETAILS	
These details will be used for payment purposes if the applicant is registered and awarded a contract.	
Primary banker (name of bank)	
Branch name and address	
Account name (must match company legal name)	
Account number	
Sort code / SWIFT / BIC code	
Currency of account	
Bank reference / comfort letter attached? (Y/N)	☐ Attached
IBAN (if applicable, for international suppliers)	
Correspondent bank (if applicable)	

6.3 CREDIT AND FINANCING	
Does the company have existing credit facilities? (Y/N)	
If YES, with which institution(s) and total facility amount:	
Maximum single contract the company can comfortably finance (ZMW or USD):	
Does the company maintain a performance bond / guarantee facility? (Y/N)	
If YES, facility provider and limit:	

PART 7: TECHNICAL CAPABILITY AND EXPERIENCE

7.1 KEY PERSONNEL(Applicable to suppliers for provisions of Technical services)	
Provide details of the company's key personnel. Attach CVs for each .	
Managing Director / CEO (Name, Nationality, Qualification, Years of Experience)	
Finance Director / CFO (Name, Nationality, Qualification, Years of Experience)	
Operations / Technical Manager (Name, Nationality, Qualification, Experience)	
HSE Manager (Name, Nationality, Qualification, Experience)	
Quality Manager (Name, Nationality, Qualification, Experience)	
Other key personnel (list and attach CVs):	

7.2 EXPERIENCE AND TRACK RECORD (Not applicable to SMEs, Cooperatives, Individuals and companies on TOT)	
List the five (5) most relevant contracts completed or in progress in the past five (5) years. Attach reference letters where available.	
Contract 1: Client / Description / Value (ZMW or USD) / Duration / Completion Status	
Contract 2: Client / Description / Value / Duration / Completion Status	
Contract 3: Client / Description / Value / Duration / Completion Status	
Contract 4: Client / Description / Value / Duration / Completion Status	
Contract 5: Client / Description / Value / Duration / Completion Status	
Largest contract value performed to date:	
Largest contract currently in execution:	

7.4 QUALITY MANAGEMENT AND CERTIFICATIONS (Applicable to suppliers for provisions of Technical services)	
ISO 9001 (Quality Management) certified? (Y/N) — Attach certificate	
ISO 14001 (Environmental Management) certified? (Y/N) — Attach certificate	
ISO 45001 (Occupational Health & Safety) certified? (Y/N) — Attach certificate	
Other technical / industry certifications (list and attach):	
Is the company certified by any OEM as an authorised dealer, distributor or service provider? (Y/N)	
If YES, name the OEM(s) and attach authorisation:	

PART 8: HEALTH, SAFETY AND ENVIRONMENT

8.1 HSE MANAGEMENT SYSTEM (Applicable to suppliers for provisions of Technical services)	
Does the company have a written HSE policy? (Y/N) — Attach copy	◻ Attached
Does the company have an HSE management system? (Y/N)	
Is the HSE system certified (ISO 45001, ISO 14001, etc.)? (Y/N)	
Does the company have a dedicated HSE officer? (Y/N)	
Name and qualification of HSE officer:	
Number of HSE personnel:	
Does the company conduct regular HSE training for its employees? (Y/N)	
Frequency of safety toolbox talks / briefings:	

8.2 SAFETY PERFORMANCE (LAST 3 YEARS)

Year	Fatalities	Lost-Time Injuries	LTIFR	Man-hours Worked	Medical Treatment Cases

8.3 HSE INCIDENTS AND ENFORCEMENT (Applicable to suppliers for provisions of Technical services)	
Has the company been issued with any prohibition or improvement notice by a mine inspector, factory inspector or safety inspector in the past 3 years? (Y/N)	
If YES, provide details:	
Has the company been involved in any fatality or serious environmental incident in the past 5 years? (Y/N)	
If YES, provide full details:	
Does the company hold environmental authorisations (EIA, EMP, discharge permits, etc.)? (Y/N)	
If YES, list and attach:	

PART 9: ANTI-BRIBERY, ANTI-CORRUPTION AND ETHICS

9.1 POLICIES AND CONTROLS (Not applicable to SMEs, Cooperatives, Individuals and companies on TOT)	
Does the company have a written Anti-Bribery and Anti-Corruption (ABAC) policy? (Y/N)	☐ Attached
Does the company have a Code of Ethics / Code of Conduct? (Y/N)	☐ Attached
Does the company have a whistleblowing / speak-up mechanism? (Y/N)	
Does the company conduct ABAC training for its employees? (Y/N)	
Does the company conduct due diligence on its own sub-contractors and agents? (Y/N)	
Has the company, or any director / officer / employee / agent, been the subject of any investigation or prosecution for bribery, corruption, fraud or related offences in any jurisdiction in the past 10 years? (Y/N)	
If YES, provide full details:	
Does the company use agents or intermediaries in its dealings with mining companies or Government? (Y/N)	
If YES, name the agent(s) and describe the scope of their engagement:	

PART 10: DATA PROTECTION CONSENT

In accordance with the Data Protection Act, No. 3 of 2021:

I/We, the undersigned, consent to the collection, processing, storage and use of the personal data and company information provided in this KYC Form by Grizzly Mining Limited, its officers, employees, agents and professional advisors, for the following purposes:

1. evaluating the eligibility and suitability of the applicant for registration as an approved supplier;
2. conducting due diligence, including beneficial ownership verification, sanctions screening, PEP screening, adverse media checks and anti-money laundering checks;
3. complying with the reporting obligations under S.I. No. 68 of 2025, including preparation and submission of Forms I to V of the Third Schedule;
4. communicating with the applicant in connection with procurement opportunities, contract management and the Supplier Development Programme;
5. sharing information with the Director of Large-Scale Mining and Mineral Investment Promotion and other regulatory authorities as required by law;
6. sharing information with the Company's bankers, auditors and professional advisors on a confidential basis for due diligence purposes.

I/We understand that refusal to consent will prevent the Company from completing the on-boarding process. I/We have the right to request access to, rectification of, or erasure of personal data, subject to contractual and legal obligations. I/We may withdraw consent at any time by writing to dpo@grizzly.co.zm, subject to the same limitations.

PART 11: TRADE AND PROFESSIONAL REFERENCES

(Not applicable to SMEs, Cooperatives, Individuals and companies on TOT)

Provide at least three (3) trade or professional references from companies or organisations for which the applicant has performed similar work in the past three (3) years:

#	Company / Organisation	Contact Person & Title	Tel / Email	Nature of Business	Contract Value & Period
1					
2					
3					
4					

PART 12: SUPPORTING DOCUMENTS CHECKLIST

Attach certified copies of the following documents. Tick the box to confirm attachment. The Company reserves the right to request originals for verification.

#	Document	Status	KYC Part	Attached	Verified (Internal)
1	Certificate of Incorporation / Registration	*Mandatory*	Part 1	☐	☐
2	Current PACRA company extract or equivalent foreign registry extract	*Mandatory*	Part 1	☐	☐
3	Tax Clearance Certificate (current and valid)	*Mandatory*	Part 5	☐	☐
4	NAPSA compliance certificate (Zambian companies)	*Mandatory*	Part 5	☐	☐
5	Workers' Compensation compliance certificate (Zambian companies)	*Mandatory*	Part 5	☐	☐
6	CEEC Certificate (citizen owned / citizen empowered companies)	*If applicable*	Part 2	☐	☐
7	Audited or management financial statements (last 2 years)	*Mandatory*	Part 6	☐	☐
8	Bank reference / comfort letter	*Mandatory*	Part 6	☐	☐
9	Beneficial ownership declaration	*Mandatory*	Part 3	☐	☐
10	Certified copy of NRC / Passport for each beneficial owner holding ≥5%	*Mandatory*	Part 3	☐	☐
11	Certified copy of NRC / Passport for each director	*Mandatory*	Part 4	☐	☐
12	Corporate group structure / organogram (if subsidiary)	*If applicable*	Part 3	☐	☐
13	Company profile and capability brochure	*Mandatory*	—	☐	☐
14	CVs of key personnel	*Mandatory*	Part 7	☐	☐
15	List of major contracts / clients (last 5 years)	*Mandatory*	Part 7	☐	☐
16	Reference letters from at least 3 clients	*Recommended*	Part 11	☐	☐
17	HSE policy	*Mandatory*	Part 8	☐	☐
18	Safety performance statistics (last 3 years)	*Mandatory*	Part 8	☐	☐
19	Environmental authorisations (EIA, EMP, etc.)	*If applicable*	Part 8	☐	☐
20	Quality certifications (ISO 9001, etc.)	*If available*	Part 7	☐	☐
21	Environmental certifications (ISO 14001, etc.)	*If available*	Part 7/8	☐	☐
22	OHS certification (ISO 45001, etc.)	*If available*	Part 7/8	☐	☐
23	OEM authorisation letters (if authorised dealer / distributor)	*If applicable*	Part 7	☐	☐
24	Anti-bribery and anti-corruption (ABAC) policy	*Mandatory*	Part 9	☐	☐
25	Code of ethics / code of conduct	*If available*	Part 9	☐	☐
26	Sector-specific licences (list all)	*If applicable*	Part 5	☐	☐
27	Professional body registration(s) (EIZ, ZIPS, ZICA, NCC, etc.)	*If applicable*	Part 5	☐	☐
28	Board resolution / power of attorney authorising the signatory	*Mandatory*	Part 13	☐	☐

PART 13: DECLARATION, UNDERTAKING AND SIGNATURE

I/We, the undersigned, being duly authorized to act on behalf of the applicant company, hereby solemnly declare and confirm that:

7. all information provided in this KYC Form is true, complete and accurate to the best of my/our knowledge and belief;
8. all supporting documents attached are genuine and, where copies, are true copies of the originals;
9. I/we understand that Grizzly Mining Limited will rely on the information in this Form for the purposes of supplier registration, due diligence, procurement and compliance with the Regulations, and that any material misrepresentation, omission or concealment may result in immediate disqualification, removal from the approved supplier register, termination of any awarded contract, and/or referral to the appropriate law enforcement authorities;
10. I/we consent to the processing of personal data as described in Part 10;
11. I/we undertake to notify the Company promptly and in writing of any material change in the information provided in this Form, including (without limitation) any change in beneficial ownership, directors, registered address, financial condition, legal status, HSE performance, compliance status or sanctions exposure;
12. I/we have read and understood the requirements of the Geological and Minerals Development (Local Content) (Preference for Goods and Services in the Mining Sector) Regulations, 2025 (S.I. No. 68 of 2025) and agree to comply with any obligations imposed on the applicant company thereunder;
13. I/we confirm that neither the applicant company nor any of its beneficial owners, directors, officers, employees or agents has offered, given, received or agreed to give any inducement, gift, favour or anything of value to any director, officer or employee of Grizzly Mining Limited, or to any Government official, in connection with this application or any procurement;
14. I/we understand that registration as an approved supplier does not guarantee the award of any contract and that individual contracts shall be subject to competitive tendering processes in accordance with the Company's procurement procedures and the Regulations.

Full name of authorised signatory	
Title / Position	
National Registration Card / Passport number	
Telephone	
Email	
Signature	
Date	
Company stamp / seal	

FOR INTERNAL USE ONLY — DO NOT COMPLETE

This section is for the exclusive use of the Company's Procurement and Compliance teams.

Application received by (Name / Date)	
KYC review completed by (Name / Date)	
Beneficial ownership verified? (Y/N / Method)	
Sanctions screening completed? (Y/N / Database / Date)	
PEP screening completed? (Y/N / Database / Date)	
Adverse media check completed? (Y/N / Date)	
Financial assessment: satisfactory? (Y/N / Narrative)	
HSE assessment: satisfactory? (Y/N / Narrative)	
ABAC assessment: satisfactory? (Y/N / Narrative)	
Local company status confirmed per Reg 2? (Y/N)	
Citizen owned or citizen empowered? (specify)	
Women / youth / PWD shareholding confirmed? (%)	
Categories of goods / services approved for registration:	
Schedule references (1A / 1B / 2A / 2B) approved:	
Overall risk rating (Low / Medium / High)	
Enhanced due diligence required? (Y/N)	
If YES, EDD completed? (Y/N / Date / Outcome)	
Recommended for approval by (Name / Signature / Date)	
Approved by Head of Procurement (Name / Signature / Date)	
Approved by Head of Legal & Compliance (Name / Signature / Date)	
Supplier code assigned	
Registration expiry / review date	

Entered on approved supplier register? (Y/N / Date)	
Invited to Supplier Development Programme? (Y/N / Date)	
Remarks / conditions of registration:	

— END OF KYC / SUPPLIER REGISTRATION AND DUE DILIGENCE FORM —